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**Fax transmission from:**

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Washington, DC 20375-5325

**Certificate****From:** Stephen Hunnius**To:** Certificate of Corrections  
Branch

JUN 25 2007

Phone: (202) 404-1554

Fax: (571) 273-8300

of Correction

Fax: (202) 404-7380

**Date:** June 20, 2007 *SH*Cover Sheet + 12 Pages**Message:**

Application Number: 09/755,375  
Patent Number: 7,173,367  
Navy Case No.: 82,919  
Sanghera et al.

**Certificate of Correction**

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**JUN 20 2007 Docket No.: N.C. 82,919**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appl. No. : 09/755,375  
Patent No. : 7,173,367  
Applicant : Sanghera et al.  
Filed : 01/05/2001  
Title : Coated Non-Oxide Cathodoluminescent Phosphors, Films Thereof and Field Emission Devices Therewith  
Grp. Art Unit : 2855  
Examiner : McCall, Eric Scott

June 20, 2007

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Certificate of Correction**

Sir:

This is in response to the denial of our Request for a Certification of Correction. It is also in response to a phone conversation between Mr. Henry Randall and Applicants' representative, Steve Hunnius, on June 14, 2007.

As a preliminary matter, Applicants wish to thank Mr. Randall for the courtesy of his time and comments.

Applicants discussed the present request with Mr. Randall. Applicants pointed out that the application should list 6 inventors and the same 6 inventors as the parent application lists.

Application Serial No.: 09/755,375  
Applicant(s): Sanghera et al.

Docket No.: N.C. 82,919

Applicants pointed out that this current application is a CIP of prior application number 09/144,105.

Priority was properly claimed and the Utility Patent Application Transmittal page states that the Declaration is a copy from the prior application. Furthermore, the box available for checking that there is a deletion of inventors was *not* checked.

Mr. Randall agreed that it seems the inventorship should have carried through and agreed to re-consider the Request for a Certificate of Correction.

Applicants hereby re-submit the papers and hereby request a reconsideration.

Again, Applicants wish to thank Mr. Randall.

If a personal or telephone interview would help expedite matters, Mr. Randall can contact Steve Hunnius at 202-404-1554.

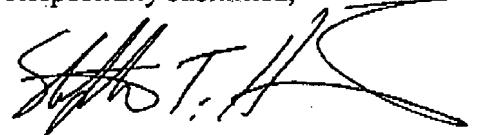
Application Serial No.: 09/755,375  
Applicant(s): Sanghera et al.

Docket No.: N.C. 82,919

Kindly charge any additional fees due, or credit overpayment of fees, to Deposit Account No. 50-0281.

Applicants respectfully request that a timely Certificate of Correction be issued in this case.

Respectfully submitted,



Stephen T. Hunnius  
Reg. No. 48,304  
Naval Research Laboratory  
Code 1008.2  
4555 Overlook Avenue, S.W.  
Washington, D.C. 20375-5325  
(202) 404-1554

## TRANSMISSION VERIFICATION REPORT

TIME : 04/24/2007 17:21  
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**From:** Stephen Huanus

**To:** Certificate of Corrections  
Branch

Phone: (202) 404-1554

Fax: (571) 273-8300

Fax: (202) 404-7380

Date: April 24, 2007

Cover Sheet + 6 Pages

**Message:**

Application Number: 09/755,375  
Patent Number: 7,173,367  
Navy Case No.: 82,919  
Sanghera et al.

**Certificate of Correction**

USPTO

4/24/2007 5:21:24 PM PAGE 1/001 Fax Server

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## Auto-Reply Facsimile Transmission



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84/24/2007 17:19	2024047380	NRL CODE 1008 PATENT	PAGE 01/07
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<b>From:</b> Stephen Senghera Phone: (202) 404-1554 Fax: (202) 404-7380 <b>Date:</b> April 24, 2007	<b>To:</b> Certificate of Corrections Branch Fax: (311) 273-8300 <b>Cover Sheet + 6 Pages</b>		
<b>Message:</b> <div style="border: 1px solid black; padding: 5px; background-color: #f0f0f0;">           Application Number: 09/755,373            Patent Number: 7,173,367            Navy Case No.: 82,919            Senghera et al.   <b>Certificate of Corrections</b> </div>			
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4555 Overlook Avenue, SW  
Washington, DC 20375-5325

**From:** Stephen Hunnius

**To:** Certificate of Corrections  
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Phone: (202) 404-1554

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Cover Sheet + 6 Pages

**Message:**

Application Number: 09/755,375

Patent Number: 7,173,367

Navy Case No.: 82,919

Sanghera et al.

**Certificate of Correction**

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**JUN 20 2007**

**Docket No.: N.C. 82,919**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appl. No. : 09/755,375  
Patent No. : 7,173,367  
Applicant : Sanghera et al.  
Filed : 01/05/2001  
Title : Coated Non-Oxide Cathodoluminescent Phosphors, Films Thereof and Field Emission Devices Therewith  
Grp. Art Unit : 2855  
Examiner : McCall, Eric Scott

April 24, 2007

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Certificate of Correction**

Sir:

This is in response to the Issue Notification mailed on 01/17/2007.

There appears to have been a PTO error in listing the names of the inventors.

A Certificate of Correction is enclosed.

Also enclosed are a copy of the Patent Application Transmittal page and a copy of the Declaration pages.

The Patent Application Transmittal page lists this current application/patent as a Continuing Application of Application No. 09/144,105 and lists that the copy of the Declaration is from the prior application.

Application Serial No.: 09/755,375  
Applicant(s): Sanghera et al.

Docket No.: N.C. 82,919

If a personal or telephone interview would help expedite matters, the Examiner is requested to contact Steve Hunnius at 202-404-1554.

Kindly charge any additional fees due, or credit overpayment of fees, to Deposit Account No. 50-0281.

Applicants respectfully request that a timely Certificate of Correction be issued in this case.

Respectfully submitted,



Stephen T. Hunnius  
Reg. No. 48,304  
Naval Research Laboratory  
Code 1008.2  
4555 Overlook Avenue, S.W.  
Washington, D.C. 20375-5325  
(202) 404-1554

PTO/SB/44 (04-05)

Approved for use through 04/30/2007. OMB 0651-0033  
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(Also Form PTO-1050)

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO. : 7,173,367

Page 1 of 1

APPLICATION NO.: 09/755,375

ISSUE DATE : 02/06/2007

INVENTOR(S) : Sanghera et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Inventors: Jasbinder S. Sanghera, Greenbelt, MD (US); Ishwar D. Aggarwal, Springfield, VA (US); Shyam S. Bayya, Falls Church, VA (US); Cathy S. Scotto, Montclair, VA (US); David T. Schaafsma, Vista, CA (US); Guillermo Villalobos, Alexandria, VA (US)

**MAILING ADDRESS OF SENDER (Please do not use customer number below):**

US Naval Research Laboratory, 4555 Overlook Ave, SW, Washington, DC 20375

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention: Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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JUN 20 2007

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 82,919

First Inventor or Application Identifier Bayya

Title Coated Cathodoluminescent Phosphors II

Express Mail Label No.

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

- \* Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
- Specification [Total Pages 19]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- Drawing(s) (35 U.S.C. 113) [Total Sheets 6]
- Oath or Declaration [Total Pages 1]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 C.F.R. § 1.83(d))  
(for continuation/divisional with Box 1B completed)
    - i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. §§ 1.83(d)(2) and 1.33(b).

**NOTE FOR ITEM 17-18: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).**

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

5.  Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a.  Computer Readable Copy
  - b.  Paper Copy (identical to computer copy)
  - c.  Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

7.  Assignment Papers (cover sheet & document(s))
8.  37 C.F.R. § 3.73(b) Statement  Power of  
(when there is an assignee)  Attorney
9.  English Translation Document (if applicable)
10.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS  
Statement (IDS)/PTO-1449  Citations
11.  Preliminary Amendment
12.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
13.  Small Entity  Statement filed in prior application,  
Statement(s)  Status still proper and desired  
(PTO/SB/08-12)
14.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
15.  Other: \_\_\_\_\_

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

 Continuation  Divisional  Continuation-in-part (CIP) of prior application No: 09,144,105

Prior application information: Examiner Michael Day

Group / Art Unit: 2879

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	(Insert Customer No. or Attach bar code label here)			or <input checked="" type="checkbox"/> Correspondence address below		
Name	John J. Karasek Associate Counsel (Intellectual Property)					
Address	Code 1008.2, Naval Research Laboratory 4555 Overlook Ave., S.W.					
City	Washington	State	D.C.	Zip Code	20375-5320	
Country	USA	Telephone	202-767-3427	Fax	202-404-7380	

Name (Print/Type)	John J. Karasek	Registration No. (Attorney/Agent)	36,182
Signature			
Date	1/14/2007		

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Navy Case No. 78-866  
Page 1 of 2

Declaration and Power of Attorney for Patent Application

As below named inventor, I hereby declare that: My residence, post office address and citizenship are as stated below next to my name.

I believe that I am the original, first, and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: "COATED CATHODOLUMINESCENT PHOSPHORE", the specification of which:

(check one)  is attached hereto. Was filed on 08/31/98 as  
Application Serial No. 09/144,105  
and was amended on \_\_\_\_\_.

I hereby state that we have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign applications for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Applications (Number)	Priority Claimed (Country)	(Day/Month/Year Filed)	Yes	No
--	-------------------------------	------------------------	-----	----

I hereby claim the benefit under Title 35, United States Code, §120 of any United States applications listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, we acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
--------------------------	---------------	--

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorneys and/or agent/s to prosecute this application and transact all business in the Patent and Trademark Office connected therewith, and hereby certify that the Government of the United States has the irrevocable right to prosecute this application:

Thomas E. McDonnell,  
Reg., No. 26950

George Kap  
Reg. No. 22898

SEND CORRESPONDENCE TO:  
Associate Counsel (Patents), Code 300B.2  
Naval Research Laboratory  
Washington, D.C. 20375-5000

DIRECT TELEPHONE CALLS TO:  
George Kap  
(202)-494-1553

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first inventor: Jasbirinder S. Sanghera

Inventor's signature: Jasbirinder S. Sanghera Date 11/2/98  
Residence: Greenbelt, MD  
Citizenship: USA  
Post Office Address: 7224 S. Oxon Court, Greenbelt, MD 20770

Full name of second inventor: Ishwar D. Aggarwal

Inventor's signature: Ishwar D. Aggarwal Date 11-10-98  
Residence: Fairfax Station, VA  
Citizenship: USA  
Post Office Address: 2612 S. Valley Dr., Fairfax Station, VA 22030  
7946 Gambrill Ct., Springfield, VA 22153

Mavy Case No. 78-862  
Page 2 of 2

Declaration and Power of Attorney For Patent Application (Continuation)

Full name of third inventor: Shyam S. Bayya

Inventor's signature: Shyam S Bayya Date 11/2/98

Residence: Falls Church, VA

Citizenship: USA

Post Office Address: 7705 Random Run Ln., Apt #7-4, Falls Church, VA 22042

Full name of fourth inventor: Cathy S. Scotto

Inventor's signature: Cathy S. Scotto Date 11/2/98

Residence: Montclair, VA

Citizenship: USA

Post Office Address: 15530 Port Washington Court, Montclair, VA 22026

Full name of fifth inventor: David E. Schanfama

Inventor's signature: David E. Schanfama Date 11/2/98

Residence: Vista, CA

Citizenship: USA

Post Office Address: 903 Ora Ave Dr., Vista, CA 92084

Full name of sixth inventor: Guillermo Villalobos

Inventor's signature: Guillermo Villalobos Date 11/2/98

Residence: Alexandria, VA

Citizenship: USA

Post Office Address: 7101-N Rockridge Ln., Alexandria, VA 22315